

## E-FILING COVERSHEET

Application Serial No. 10/820,322

Attorney Docket No. SENS0011CIP

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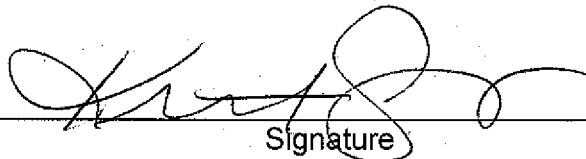
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on July 11, 2007

Date



Signature

Kristianne Replogle

Typed or printed name of person signing Certificate

**Note:** Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Included in this Electronic Filing please find the following documents:

- E-filing Cover-sheet (1 page);
- Information Disclosure Statement (2 pages);
- Certification under 37 CFR 1.97(e) (2 pages); and
- PTO Form 1449 (modified) (1 page).

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Acosta

**Docket No.:** SENS0011CIP

**Serial No.:** 10/820,322

**Art Unit:** 3768

**Filed:** April 7, 2004

**Examiner:** Winakur, Eric Frank

**Title:** COMPACT APPARATUS FOR NONINVASIVE MEASUREMENT OF GLUCOSE THROUGH NEAR-INFRARED SPECTROSCOPY

**Confirmation No.** 8527

Date: July 11, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Certification under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
  - ☒ Certification under 37 CFR 1.97(e), and
  - ☐ a \$180.00 fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SENS0011CIP).

(X) Applicant(s) submit herewith PTO Form 1449 (Modified) -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449 (Modified), as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO Form 1449 (Modified) and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**CERTIFICATION FOR  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(e)**

Examiner:

A certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify:

(x) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1)), or

( ) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after

making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in §1.56(c)
- ☒ in the attorney's file

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer Number 22,862

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. SENS0011CIP	Serial No.: 10/820,322
	Applicant: Acosta et al.	
	Filing Date: April 7, 2004	Group: 3768

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Issue Date (MM-DD-YY)	Patentee	Class	Sub-class	Filing Date
		6,152,876	11/28/2000	Robinson, et al.			

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date (MM-DD-YY)	Patentee or Applicant	Class	Sub-class	Translation	
							Yes	No

**U. S. Published Application**

Examiner Initial	No.	Patent No.	Publication Date (MM-DD-YY)	Patentee	Class	Sub-class	Filing Date
	L	2004/0163032	08/19/2004	Guo			12/17/2002

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.